



Sheet 1 of 3

(Rev. 5-92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: PPK-1-CIP	Serial Number: 10 646,929
	Applicant: PAUL K. PIONIKOWSKI	
	Filing Date: 8 25 03 Confirmation No: 1610	Group Art Unit: 2872

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
USSN 10/320,385, filed 12/17/02, or  
USSN \_\_\_\_\_, filed \_\_\_\_\_;  
Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of, controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS										COPY NOTE	Class/subclass
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE		
NS7	HARAN	6	6	0	6	1	9	2	8 03		359/409
NS7	BREGLIA ET AL.	4	4	1	1	6	2	7	10 83		434/44
NS7	GLASHEEN	4	5	9	2	0	9	6	6 86		2/427
NS7	BAINS ET AL.	3	2	9	0	9	8	5	12 66		359/826
NS7	BRANDT	2	4	7	9	7	2	0	8 49		248/1232
NS7	SWEET	9	4	1	5	9	1		11 09		248/282
NS7	HURST	3	0	9	0	0	4	5	5 21 03		2/2
NS7	HUBER ET AL.	5	9	1	3	4	1	2	6 22 99		2/414
NS7	BENEVENTO, JR. ET AL.	5	3	6	5	6	0	7	11 22 94		2/181.4

FOREIGN PATENT DOCUMENTS														
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE Class/subclass
											YES	NO	N/A	
NS7	GREAT BRITAIN	2	0	5	3	5	0	2		2/81	X			2
	PCT	0	3	0	6	9	2	1	A	8/21/03	X			2
	PCT	0	0	5	5	6	7	3	A1	9/21/00	X			2
	PCT	9	2	0	3	7	5	6		5/5/92	X			2
	PCT	0	1	3	8	9	1	9	A1	5/31/01	X			2
	PCT	9	6	0	9	5	6	6		3/28/96	X			2

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
	PRIOR ART-ADVERTISEMENT: VARIOSCOPE M3 BY LIFE OPTICS	2
	PRIOR ART-ADVERTISEMENT: VARIOSCOPE AF3 BY LIFE OPTICS	2
	PRIOR ART-ADVERTISEMENT-SEILER INSTRUMENT MICROSCOPE DIVISION: MODEL SST-702 DENTAL MICROSCOPE	2
EXAMINER	DATE CONSIDERED	
NS7	12/20/04	
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/646,929
		Filing Date	8/25/03
		First Named Inventor	Paul K. Piontkowski
		Art Unit	2872
		Examiner Name	T. NGUYEN
Sheet 12 of 22	Attorney Docket Number		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
WV		US-3796220	3-12-1974	BREDEMEIER	ALL 606/18
WV		US-4364629	12-21-1982	Lang et al	ALL 359/377
WV		US-4594608	6-10-1986	Hatae et al	ALL 348/79
WV		US-4614411	9-30-1986	HöRENZ	ALL 359/375
WV		US-4654356	4-14-1987	Matsumura	ALL 359/377
WV		US-4781734	11-29-1988	Matsumura	ALL 351/212
WV		US-5534248	7-16-1996	Sander	ALL 359/376
WV		US-6081372	6-27-2000	Mura	ALL 359/377
WV		US-6147800	11-14-2000	Faber	ALL 359/389
WV		US-6290368	9-18-2001	Lehrer	ALL 362/187
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			

Examiner Signature	<i>[Signature]</i>	Date Considered	12/20/03
--------------------	--------------------	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

13

### Complete if Known

Application Number	10/646 929
Filing Date	Aug. 25, 2003
First Named Inventor	Dr. Paul K. Puntkowski
Art Unit	2872
Examiner Name	Thong Nguyen
Attorney Docket Number	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
NGW		US- 5253,832	10-19-93	Bolas et al	Att 248/123.11
NGW		US- 4,515,333	5-7-85	Pugh et al	Att 248/123.11
NGW		US- 3,830,230	8-20-74	Chester	Att 600/249
NGW		US- 4,844,778	7-18-89	Samuelson	Att 396/428
NGW		US- 5,252,070	10-12-93	Jarrett	Att 434/59
NGW		US- 4,618,257	10-7-86	Kloots et al	Att 348/370
NGW		US- 4,344,595	8-17-82	Heller et al	Att 248/542
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>o</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		MM-DD-YYYY			

Examiner  
Signature

Thong Nguyen

Date  
Considered

12/20/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.